Service-Oriented Network Resource Orchestration in Space-Air-Ground Integrated Network

Jingchao He, Student Member, IEEE, Nan Cheng, Member, IEEE, Zhisheng Yin, Member, IEEE, Conghao Zhou, Member, IEEE, Haibo Zhou, Senior Member, IEEE, Wei Quan, Senior Member, IEEE, Xiao-Hui Lin

Abstract—Space-air-ground integrated networks (SAGINs) are envisioned to provide seamless coverage and enhanced flexibility compared with traditional terrestrial mobile networks, which has attracted much attention from both industry and academia. However, orchestrating heterogeneous resources in such a largescale and dynamic network is challenging, especially encountering diverse services with multi-dimensional requirements. In this paper, we first propose a software-defined networking (SDN) and network function virtualization (NFV)-based reconfigurable SAGIN architecture for constructing service function chains (SFCs). Based on that, we investigate the SFC orchestration and wireless resource management where the virtual link rate adaption between each virtual network function (VNF) is introduced to improve the network resource utilization. Considering the limited physical resource and the heterogeneity in SAGINs, we jointly formulate the VNF embedding, virtual link rate adaption, and wireless resource allocation as a mixed-integer nonlinear programming (MINLP) problem to maximize the network profit. Due to the NP-hardness of the problem, we first transform the problem into a continuous optimization problem by successive convex approximation. By introducing an additional penalty into the objective function, an iterative alternation algorithm is proposed to find a near-optimal solution of the transformed problem. Extensive simulation results show that our proposed approach outperforms the benchmarks in average network revenue, successfully serving probability, and resource consumption.

Index Terms—Space-air-ground integrated network (SAGIN), software-defined networking (SDN), network function virtual-

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Jingchao He and Nan Cheng are with the State Key Laboratory of ISN and School of Telecommunications Engineering, Xidian University, Xi'an 710071, China (e-mail:jchhe@stu.xidian.edu.cn;dr.nan.cheng@ieee.org).

Zhisheng Yin is with the State Key Laboratory of ISN and School of Cyber Engineering, Xidian University, Xi'an 710071, China (e-mail: zsyin@xidian.edu.cn).

Conghao Zhou is with the Department of Electrical and Computer Engineering, University of Waterloo, Waterloo, ON, N2L 3G1, Canada (e-mail: c89zhou@uwaterloo.ca).

Haibo Zhou is with the School of Electronic Science and Engineering, Nanjing University, Nanjing 210023, China (e-mail: haibozhou@nju.edu.cn).

Wei Quan is with the School of Electronic and Information Engineering, Beijing Jiaotong University, Beijing 100044, China (e-mail: weiquan@bjtu.edu.cn).

Xiao-Hui Lin is with the College of Electronics and Information Engineering, Shenzhen University, Shenzhen 518060, China (e-mail: xhlin@szu.edu.cn). ization (NFV), service function chain (SFC), wireless resource allocation.

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I. INTRODUCTION

T RADITIONAL terrestrial mobile networks have achieved high capacity and low latency with advanced wireless communication and antenna technologies, which have enabled a large number of applications, such as augment reality (AR)/virtual reality (VR), industry Internet of things (IoT), and connected vehicles [1], [2]. However, due to the fixed deployment of the network infrastructure, the overall network topology is static, and it is difficult to dynamically adjust the network resource distribution according to real-time requirements. Furthermore, dense base station (BS) deployment and terrestrial backhaul network construction is prohibitively expensive, and the deployment of terrestrial networks is limited by terrain such as deserts, and oceans. Thus, conventional ground-based networks are difficult to cope with the extension trend of network coverage [3], [4].

To complement conventional terrestrial networks, both academia and industry pay attention to non-terrestrial networks (NTNs). Low earth orbit (LEO) satellite constellation enables high-capacity and low-cost satellite network to provide global coverage [5]. Particularly, the STARLINK LEO constellation operated by SpaceX has provided network access to 32 countries, and 12,000 LEO/very-low-earth-orbit (VLEO) satellites are planned to provide global coverage, with a possible extension to 42,000 in the future. Besides, aerial networks, mainly containing high-altitude platform stations (HAPs) and unmanned aerial vehicles (UAVs), have been attracting people in recent years [6], [7]. HAPs are defined as the radio stations at 20-50 kilometers above the Earth, which are able to suspend at a fixed position to provide fixed broadband network access in hard-to-reach areas [8]. The UAV is the component of the unmanned aircraft system (UAS), which operates above the Earth without any human control and is agile enough to serve real-time hot spot areas [9]. Comprehensively integrating with the space networks, aerial networks, and ground networks, the space-air-ground integrated networks (SAGINs) are proposed, which take advantage of the complementary benefits of three network segments and offer unprecedented network ability in coverage, flexibility, capacity, and reconfigurability [10], [11]. However, the inherent heterogeneity and dynamics challenge network operators in traffic distribution, routing protocol design, and load balancing. Conventional exclusive network architectures and dedicated hardware are not reliable

and cost-effective to orchestrate network resources for services with multi-dimensional requirements in such a large-scale and dynamic network scenario.

Fortunately, software-defined networking (SDN) technology can disassociate the data plane from the control plane and enables a more flexible, dynamic, and programmatically efficient network operation [12]. By network function virtualization (NFV) technology, resources of underlying heterogeneous physical infrastructures can be abstracted into the virtual resources pool, which provides a more flexible approach in resource management than traditional dedicated hardware. Leveraging the power of SDN and NFV, service function chains (SFCs) can be constructed, comprising a sequential arrangement of virtual network functions (VNFs), enabling customizable solutions to cater to diverse quality-of-service (QoS) requirements of users. To conduct a SFC configuration, the physical resources are first abstracted and incorporated into the virtual resource pool. When service requests arrive, they are described as specific VNF chains with resource requirements and forwarded to the SFC orchestrator. Based on the current network state, the SFC orchestrator evaluates the feasibility of accepting the service. Upon acceptance, the corresponding network resource blocks are allocated, enabling the sequential execution of VNFs from source to destination, ultimately accomplishing the service request [13].

Considering the network topology, link state, and channel capability are static in core networks, researchers usually formulate the SFC mapping problem based on the linear programming (LP) model [14]-[20] or Markov decision process (MDP) [21]-[26], and utilize heuristic or reinforcement learning (RL)-based algorithms to solve it. These algorithms are simple but efficient, which are easy to obtain nearoptimal solutions under stable network status with adequate iterations or offline training when the action space is small and discrete. Nevertheless, the dynamic network infrastructure in SAGINs alters the channel status and connectivity, rendering previously efficient resource orchestration inefficient over time, thereby degrading algorithm performance and even infringing on the user requirements [27], [28]. To address the dynamic environment of SAGINs, the coordination of SFC mapping and network resource scheduling is vital in model design. Furthermore, current research on SFC orchestration only considers the SFC mapping without taking the virtual link rate (i.e., the data transmission rates of the interconnections between VNFs of each SFC) into account, which significantly increase the blocking probability of network and result in poor service ability. In order to maximize network performance and improve heterogeneous resource utilization, a joint algorithm for SFC orchestration with virtual link adaption (rate-adaptive SFC orchestration) and network resource allocation is urgently required.

In this paper, we investigate the rate-adaptive SFC orchestration and wireless resource allocation jointly. A problem is formulated to maximize the network profit by optimizing the SFC orchestration, virtual link rate adaption, spectrum allocation, and power allocation, where the SFC provision and network resources are constrained. Since it is a mixed integer nonlinear programming (MINLP), it is non-convex and NP- hard, indicating that it is intractable. To solve this problem, we first transform it into a continuous optimization problem by successive convex approximation, where the additional penalty is introduced into the objective function to offset the influence of the inconsistency of integers. To address highly coupled variables in constraints, an iterative alternating optimization algorithm is proposed to obtain near-optimal solutions. During the optimization process, SFC mapping and network resource allocation are optimized with virtual link rate iteratively. Our main contributions can be summarized as follows.

- 1) We propose an SDN/NFV-based SAGIN architecture to support multi-dimensional resource orchestration in a large-scale dynamic network environment.
- 2) Based on the proposed architecture, we formulate a joint optimization problem of SFC orchestration and wireless resource scheduling considering service provision constraint, network resource limitation, and long-term aerial network stability preservation. Specifically, the rate adaption of virtual link is introduced into the optimization model to maximize the network profit.
- 3) To achieve efficient service deployment and network resource utilization, we present an iterative alternating optimization algorithm by convex approximation. Then, we analyze the influence of wireless resources and derive the expectation of network receiving capacity.
- Extensive simulation results are exhibited to evaluate the proposed algorithm and architecture in network profit, service acceptance ratio, average resource costs, etc.

The remainder of this paper is organized as follows. In Section II, a review of related work is presented. In Section III, we present the considered system model in detail. An MINLP problem is formulated in Section IV with the consideration of service provision constraint and network resource constraint. A convex optimization-based iterative alternating algorithm is proposed to solve this problem in Section V. In Section VI, simulations are carried out to evaluate the performance of the proposed algorithm. Finally, Section VII concludes this paper.

II. RELATED WORK

By investigating the state-of-the-art studies, there has been abundant research on SFC orchestration in terrestrial networks and few related work in SAGINs.

For the SFC orchestration in terrestrial networks, it is usually formulated as an integer linear programming (ILP) [14]–[18] or mixed integer linear programming (MILP) optimization model [19], where the SFC mapping is optimized to maximize the network revenue [29]. Specifically, the carrier level VNFs placement problem in the cloud is studied in [19] where a betweenness-centrality-based algorithm is proposed to minimize the intra- and end-to-end delays of SFC. Considering the energy consumption of SDN switches, the SFC mapping problem is invesitgated to minimize the reconfiguration overhead [14]. In [15], the SFC embedding with dynamic VNF deployment in a geo-distributed cloud system is formulated as a binary integer programming, and two algorithms are presented to minimize the embedding cost and service latency separately [15]. Similar research on SFC mapping is



Fig. 1. SDN/NFV-based reconfigurable SAGIN network architecture

presented to minimize the energy consumption in [16], [17]. To cope with the service interruptions caused by node failures, some researchers concentrated on promoting SFC reliability by optimizing both the SFC mapping and backup instance deployment [18], [20]. Besides, the SFC mapping problem is formulated as an MDP model, and deep neural network (DNN)-based [21], [22] or graph-neural network (GNN)-based [23]–[26] approaches are utilized to solve the problem.

There are less research works on SFC orchestration in SAGINs, and most studies introduce the SAGIN to complement conventional terrestrial wireless networks in coverage expansion and performance enhancement [4], [13], [30], [31]. In [30], an SAGIN-based network management and reconfiguration framework is proposed to offload bidirectional missions, which extends the coverage of ground wireless networks and enhances the capability and sustainability of NTNs. The simulation results demonstrate that the proposed network architecture achieves a lower blocking rate and the average cost of computation resources compared to groundbased networks, with an acceptable additional bandwidth cost. Based on the proposed architecture in [30], an airground integrated architecture composed of a HAP and several ground BSs is proposed in [13], where the node capacity and coverage performance are distinct, and a new metric is defined as aggregation ratio to measure the tradeoff between communication costs and computation costs. Similar research is presented in [4] to maximize resource utilization. To adapt to the dynamic environment in SAGINs, an SFC provisioning and reconfiguration mechanism is proposed in [31], which enables the live VNF migration and improves the service acceptance ratio. The above references promote the network performance compared with that solely based on ground networks and provide more possibilities for future network expansion. However, to further develop the performance of SAGINs, the coordination with network resources scheduling and SFC virtual link rate adaption are equally important [14].

III. SYSTEM MODEL

To support multi-dimensional resource orchestration in a large-scale dynamic network environment, we propose an SDN/NFV-based reconfigurable SAGIN network architecture, as shown in Fig. 1. The architecture consists of three segments: LEO satellites in the space network, aerial nodes in the aerial network, and ground nodes in the ground network. The satellites configured with the central SDN controller are in charge of SFC orchestration and wireless resource management. Both aerial nodes and ground nodes are equipped with communication units and computation units supporting multi-VNF embedding. VNFs are dedicated and shall not be shared by other services. When a network service (e.g., remote surgery, ubiquitous communication) arrive at the network, it is described as specific sequenced VNFs, and the decision on orchestration policy (if acceptance) or rejection are made by the central controller with the consideration of service requirements and network state. The notations used in this paper are listed in Table I.

TABLE I NOTATIONS

Description
The set of physical nodes
The set of ground nodes
The set of aerial nodes
The set of physical links
The set of physical links between ground nodes
The set of physical links from ground nodes to aerial nodes
The set of physical links from aerial nodes to other network nodes
The set of service requests
The computation capacity of physical node
The source node of service request q
The destination node of service request q
The set of VNFs of service request q
The set of virtual links of service q
The binary variable that indicates whether VNF f of service request q is embedded on node n
The binary variable that indicates whether the link between VNF <i>i</i> and VNF <i>j</i> of service <i>q</i> is mapped on physical link (n, m)
The binary variable that indicates whether request q is successfully accepted
The required time of service request q
The revenue of service request q
The virtual link rate of service q between VNF i to VNF j

The physical network is represented by a graph G =(N, E), where N is the set of network nodes and E is the set of physical links that interconnect network nodes. In this scenario, $N = N_A \cup N_G$ where N_A represents the set of aerial nodes and N_G is the set of ground nodes. Denote the computation capacity of network node n by C_n ; $E = E_G \cup E_{A1} \cup E_{A2}$, where E_G is the set of physical links between ground stations, E_{A1} is the set of wireless links from ground nodes to aerial nodes, and E_{A2} is the set of wireless links from aerial nodes to other network nodes. Ground nodes are interconnected, and the channel capacity is denoted by l_{G1} . The wireless channel capacity from ground nodes to aerial nodes is denoted by l_{G2} , which is calculated by the transmission power, path loss, and noise. The channel model from the aerial node to the aerial node or the ground node follows the free-space path loss model [32]. Frequency division multiple access (FDMA) is utilized in aerial networks, and the spectrum is allocated to each aerial node orthogonally. The total available spectrum authorized for data transmission of each SFCs are denoted by B, and we assume the radio bands are small enough to be allocated continuously. The arrival of each service is independent and random, and we accumulate these newly arrived services and execute the determination periodically. Without loss of generality, network topology and wireless environment can be assumed to be quasi-stationary during each decision-making interval [31], [33]–[35]. Nevertheless, it is worth noting that aerial nodes can be moving, and our proposed model is also applicable in such dynamic network scenarios.

A general SAGIN topology is shown in Fig. 2 where node 1 to node 5 are ground nodes, and node 6 to node 8 are aerial nodes that connect to each other and ground nodes via wireless channels. Service 1 is embedded on node 1, node 2, node 6, and node 3; service 2 is embedded on node 1, node2,



Fig. 2. A general topology of the SAGIN.

and node 5. Physical links have fixed capacity, which means that the redundant transmission resource between node 2 and node 4 or others cannot be used for the crowded link between node 1 and node 2. However, transmission resource in aerial networks are dynamic and reconfigurable, where unoccupied bandwidth can be scheduled to node 6 for the transmission from node 6 to node 3. In an extreme example, the rigid terrestrial network will reject many services, even if some other links are still idle. This happens when the number of the same service 1 and 2 increases, or when service 1 and service 2 themselves require more communication resources. Nonetheless, the reconfigurable network can improve resource utilization and alleviate the congestion. Similarly, the rate adaption mechanism can reduce the network congestion in both terrestrial networks and aerial networks, allowing more services that would otherwise be denied to be received. Thus, our proposed model can promote resource utilization and maximize network profit.

A. Service Modeling

Consider that the number and types of services arriving at the network have been determined before each decisionmaking interval, and the set of services is denoted by Q = $\{q|q = 1, 2, ..., |Q|\}$. Considering transmission requirement and computation requirement, two types of services are studied, which are high-computation low-bandwidth service and low-computation high-bandwidth service, respectively [13]. VNF sequences of each service are predefined, and VNFs are not allowed to be shared by different service requests [31]. Denote the sets of source nodes and destination nodes by $\{s_q|q \in Q\}$ and $\{d_q|q \in Q\}$, respectively. $\mathbf{f}_q = \{f_i|i =$ $1, 2, ..., |\mathbf{f}_q|\}$ denotes the VNF sequence of service q. A service is completed successfully only if each VNF is executed in order from its source to destination within the required time.

Let binary variable $x_{f,n,q} = 1$ if VNF f of service q is embedded on node n, and $x_{f,n,q} = 0$ otherwise. The solution vector is denoted by $\mathbf{x} =$

 $\begin{array}{l} \{x_{f,n,q} \mid \forall f \in \mathbf{f}_q, \forall n \in N, \forall q \in Q\}. \text{ Similarly, binary variable} \\ y_{(i,j),q}^{(n,m)} = 1 \text{ when virtual link between VNF } i \text{ and VNF} \\ j \text{ of service } q \text{ is mapped on physical link } (n,m), \text{ and} \\ y_{(i,j),q}^{(n,m)} = 0 \text{ otherwise. The solution vector is denoted by} \\ \mathbf{y} = \left\{y_{(i,j),q}^{(n,m)} \mid \forall (i,j) \in E_q, \forall (n,m) \in E, \forall q \in Q\right\}, \text{ where} \\ E_q \text{ denotes the virtual links from VNF } i \text{ to VNF } j, \text{ and} \\ E_q = \{(i,j) | \forall i, j \in \mathbf{f}_q, q \in Q\}. \text{ Another binary variable } z_q \\ \text{ is defined to indicate whether service } q \text{ is received, as} \end{array}$

$$z_q = \begin{cases} 1, & \text{service } q \text{ is successfully received,} \\ 0, & \text{otherwise.} \end{cases}$$
(1)

The solution vector is denoted by $\mathbf{z} = \{z_q \mid q \in Q\}.$

B. Delay Modeling

As previously discussed, the SFC plays a crucial role in satisfying diverse service requirements of users, particularly in applications like self-driving and natural disaster rescue. One key aspect that demands significant attention is the guarantee of a reliable and low-latency network delay. This paramount consideration ensures that critical services can be delivered promptly and efficiently, enabling timely responses across various scenarios. In this model, the delay of each service is denoted by t_q , which is consisted of the communication delay and computation delay, i.e.,

$$t_q = t_{comm,q} + t_{comp,q},\tag{2}$$

where $t_{\text{comm },q}$ denotes the transmission delay of service q over physical links, and $t_{comp,q}$ is computation delay at network nodes. $t_{\text{comm },q}$ is expressed as

$$t_{comm,q} = \sum_{(i,j)\in E_q} \frac{l_q}{l_q^{(i,j)}},$$
(3)

where l_q represents the data volume of service q needed to be transmitted and $l_q^{(i,j)}$ represents the allocated virtual link rate of service q between VNF i and VNF j. The solution vector is denoted by $\mathbf{l} = \left\{ l_q^{(i,j)} \mid \forall (i,j) \in E_q, \forall q \in Q \right\}$.

On the other hand, the computation delay is caused by VNF execution. Let $c_{f,n,q}$ denote the allocated computation resource for serving the VNF f of service q at node n, and $c_{f,q}$ represents the volume of computation data in VNF f of service q. The transmission delay of service q is expressed as

$$t_{comp,q} = \sum_{f \in \mathbf{f}_q} \frac{c_{f,q}}{c_{f,n,q}}.$$
(4)

C. Cost Modeling

In this paper, we aim to maximize the network profit obtained by subtracting the total variable cost of utilized resources from total revenue of received services in current time slot. Since the service is considered as delay-sensitive, the revenue is generated only when the service meets its requirements. The cost arises from the energy consumption of network nodes that supported each accepted service. In this subsection, the cost of each network node is characterized by considering both resource utilization and energy consumption factors. The variable computation cost is the ratio of allocated computation resources to computation capacity [36], [37]. For ground nodes, the communication cost depends on link utilization [36], [38]–[41]. The total cost of ground node n is expressed as

$$c_n^{BS} = \alpha_{cm,N_G} \sum_{m \neq n} l_{(n,m)} + \alpha_{cp,N_G} \sum_{q \in Q} \sum_{f \in \mathbf{f}_q} \frac{c_{f,n,q} x_{f,n,q}}{C_n},$$

$$\forall n \in N_G,$$
(5)

where α_{cm,N_G} and α_{cp,N_G} are the weight of communication cost and computation cost of ground nodes, respectively. $l_{(n,m)} = \sum_{q \in Q} \sum_{\forall (i,j) \in E_q} y_{(i,j),q}^{(n,m)} l_q^{(i,j)}$ is the used channel capacity between network node n and network node m. $b_{(n,m)}$ and $p_{(n,m)}$ represent the spectrum and transmission power from aerial node n to network node m, respectively. The solution vector is denoted by $\mathbf{b} = \{b_{(n,m)} \mid \forall (n,m) \in E_{A2}\}$ and $\mathbf{p} = \{p_{(n,m)} \mid \forall (n,m) \in E_{A2}\}$ parallelly. For the cost model of aerial nodes, the transmission power is considered. The cost of aerial nodes is expressed as

$$c_n^{UAV} = \alpha_p \sum_{m \neq n} p_{(n,m)} + \alpha_{cp,N_A} \sum_{q \in Q} \sum_{f \in \mathbf{f}_q} \frac{c_{f,n,q} x_{f,n,q}}{C_n}, \quad (6)$$
$$\forall n \in N_A,$$

where α_{cm,N_A} and α_{cp,N_A} denote the weight of communication cost and computation cost of aerial nodes. α_p denotes the weight of the cost of transmission power, respectively. Compared with ground nodes, aerial nodes lack a continuous and sufficient source of power, and the energy consumption of aerial nodes is expressed as

$$\Omega_n = \beta_1 \sum_{m \neq n} p_{(n,m)} + \beta_2 \sum_{q \in Q} \sum_{f \in \mathbf{f}_q} c_{f,n,q} x_{f,n,q}, \quad \forall n \in N_A,$$
(7)

where Ω_n represent the energy consumption model of aerial node n, and β_1 and β_2 are the weight of power and computation, respectively.

D. Profit Modeling

The network's revenue mainly depends on the completion of each service, and the services in this model are delay sensitive. Only when a service is completed within the required delay, the system will earn a certain revenue. The total revenue is expressed as

$$R = \sum_{q \in Q} r_q z_q,\tag{8}$$

where r_q is the revenue generates from service q. The cost is mainly incurred by the utilization of node resources, which is expressed as

$$C = \sum_{n \in N_A} c_n^{UAV} + \sum_{n \in N_G} c_n^{BS}.$$
 (9)

The network profit is our optimization objective. and it is denoted by subtracting the total cost of utilized resources from total revenue of received services in current time slot, which is expressed as

$$P = R - C. \tag{10}$$

IV. PROBLEM FORMULATION

To maximize the total network profit, we formulate the joint rate-adaptive SFC orchestration and wireless resource allocation as an MINLP problem while considering the constraints of service provision, ground networks, and aerial networks.

A. Service Provision Constraints

This subsection presents the constraints of service provision. The sources, destinations, and VNF sequences are predefined before the service arrives at the network. Constraints C_1 and C_2 should be satisfied to ensure that the initial VNF and final VNF are embedded in the source and destination. These two constraints are

$$C1: x_{f_1, s_q, q} = z_q, \quad \forall q \in Q, \tag{11}$$

$$C2: x_{f_{|\mathbf{f}_q|}, d_q, q} = z_q, \quad \forall q \in Q, \tag{12}$$

where f_1 and $f_{|\mathbf{f}_q|}$ are the first and the last VNF of service q, respectively.

For any arriving service requests that are received, each VNF of which have to be embedded on only one network node, which is expressed as

$$C3: \sum_{n \in N} x_{f,n,q} = z_q, \forall f \in \mathbf{f}_q, \forall q \in Q.$$
(13)

Besides, flow conservation is essential in graph routing, which guarantees the inbound flow units equal outbound flow units [42]. In this paper, the flow conservation ensures the processing sequence of the SFC and is expressed as

$$C4: \sum_{m \in N} y_{(i,j),q}^{(n,m)} - \sum_{m \in N} y_{(i,j),q}^{(m,n)} = x_{i,n,q} - x_{j,n,q}, \forall n \in N, \forall q \in Q, \forall (i,j) \in E_q.$$
(14)

Each service has a strict latency constraints, which if violated no revenue is generated. We assume that the delay requirement of each service cannot be violated, and every received service q should be completed within the delay constraint t_q , which is expressed as

$$C5: \sum_{(i,j)\in E_q} z_q \frac{l_q}{l_q^{(i,j)}} + \sum_{f\in \mathbf{f}_q} z_q \frac{c_{f,n,q}}{c_{f,q}} \le t_q, \quad \forall q \in Q.$$
(15)

B. Ground Network Constraints

Due to the limited resources of ground nodes, the allocated computation resource cannot exceed the capacity, i.e.,

$$C6: \sum_{q \in Q} \sum_{f \in \mathbf{f}_q} x_{f,n,q} c_{f,n,q} \le C_n, \quad \forall n \in N_G, \quad (16)$$

where C_n is the computation capacity of network node n. Moreover, the capacity of the ground network links are fixed and limited, which results in the constraints as follows.

$$C7: \sum_{q \in Q} \sum_{(i,j) \in E_q} y_{(i,j),q}^{(n,m)} l_q^{(i,j)} \le l_{G1}, \forall (n,m) \in E_G, \quad (17)$$

$$C8: \sum_{q \in Q} \sum_{(i,j) \in E_q} y_{(i,j),q}^{(n,m)} l_q^{(i,j)} \le l_{G2}, \forall (n,m) \in E_{A1}.$$
 (18)

C. Aerial Network Constraints

The channel capacity, power, and available spectrum in aerial networks are constrained. The wireless channel follows the path loss model in [43], and channel capacity from aerial node n to network node m [32] is expressed as

$$\varphi^{(n,m)} = b_{(n,m)} \log \left(1 + \frac{h_{(n,m)}^{-\gamma} p_{(n,m)}}{\sigma^2 b_{(n,m)}} \right), \qquad (19)$$

where $h_{(n,m)}$ is the distance from aerial node *n* to node *m*, γ represents the constant path loss coefficient, and σ^2 indicates the additive white Gaussian white power spectrum density.

The allocated virtual link rates cannot exceed the channel capacity, which is expressed as

$$C9: \sum_{q \in Q} \sum_{(i,j) \in E_q} y_{(i,j),q}^{(n,m)} l_q^{(i,j)} \le \varphi^{(n,m)}, \forall (n,m) \in E_{A2},$$
(20)

where the left side of the inequality is the rate of all services from aerial node n to network node m, and the right side of the inequality is the channel capacity. The transmission power of the aerial node cannot exceed the maximum power, which is expressed as

$$C10: \sum_{m \in N} p^{(n,m)} \le P_{max}, \forall n \in N.$$
(21)

where P_{max} is the maximum power of each aerial node. Furthermore, spectrum resource in the aerial network is limited, and the allocated spectrum cannot exceed the total network spectrum, which is expressed as

$$C11: \sum_{n \in N_A} \sum_{m \in N} b_{(n,m)} \le B.$$
(22)

The energy of aerial nodes is limited, which is determined by the battery capacity. Each individual aerial node that supports excessive service requests will drain power very quickly, resulting in an unstable network topology due to frequent shift work. To stabilize the network topology, load balance constraint is introduced and expressed as

$$C12: \left[\max_{n \in N_A} \left\{\Omega_n\right\} - \min_{n \in N_A} \left\{\Omega_n\right\}\right]^2 \le \varepsilon^2, \qquad (23)$$

where $|N_A|$ is the number of aerial nodes, $\bar{\Omega}_n$ is the average load of aerial nodes, and ε^2 represents the critical value of load variance. The load variance evaluates the difference in battery consumption across aerial nodes, with a smaller value indicating a smaller load differential between aerial nodes.

D. MINLP Problem

In this model, we optimize the rate-adaptive SFC orchestration and wireless resource to maximize the total network profit from the network operator's perspective. Combining with constraints C1-C12, an MINLP problem is formulated as follows,

$$\begin{array}{l} P1: \max_{\mathbf{x}, \, \mathbf{y}, \, \mathbf{z}, \, \mathbf{p}, \, \mathbf{b}, \, \mathbf{l}} P = R - C\\ s.t.C1 - C12,\\ C13: \mathbf{x}, \, \mathbf{y}, \, \mathbf{z} \in \{0, 1\},\\ C14: \mathbf{p}, \, \mathbf{b}, \, \mathbf{l} \geq 0. \end{array}$$

Authorized licensed use limited to: XIDIAN UNIVERSITY. Downloaded on September 13,2023 at 15:17:53 UTC from IEEE Xplore. Restrictions apply. © 2023 IEEE. Personal use is permitted, but republication/redistribution requires IEEE permission. See https://www.ieee.org/publications/rights/index.html for more information. In this problem, variables \mathbf{x} , \mathbf{y} , and \mathbf{z} are integers and variables \mathbf{p} , \mathbf{b} , and \mathbf{l} are continuous variables. The problem is non-convex and NP-hard [44], and its optimal solution cannot be found within polynomial time. To solve this problem, we relax the integer variables and several non-convex constraints, and an iterative alternating optimization algorithm, named optimization of SFC embedding, wireless resources, and virtual link rate (EM-WR-TR optimization), is presented in the next section.

V. EM-WR-TR OPTIMIZATION

A. Problem Transformation

Both integer variables and continuous variables exist in the proposed problem, and it is an MINLP problem. Traditional MINLP optimization algorithms like spatial branch-and-bound algorithm or Lasserre hierarchy suffers extra complexity for overwhelmed constraints and variables. Therefore, we transform the integer variables at first. Furthermore, the mutual multiplication of **y** and **l** exists in C9 is non-convex, and **y** and **l** cannot be simultaneously optimized. To jointly optimize the rate-adaptive SFC orchestration and wireless resource allocation, an altering optimization approach is proposed where **x**, **y**, **z**, **p**, **b**, and **l** are optimized iteratively. The details are as follows.

Firstly, all integer variables are relaxed to continuous variables. Simply transforming all integer variables into continuous variables produces inaccuracies and errors in SFC orchestration and final results. To make up errors brought from the transformation, we relax z_q to a continuous variable following a similar approach inspired by [45], and z_q in C13 can be relaxed as

$$C13a: \sum_{q=1}^{Q} z_q - \sum_{q=1}^{Q} z_q^2 \le 0, \forall q \in Q,$$
(24)

$$C13b: 0 \le z_q \le 1, \forall q \in Q, \tag{25}$$

where C13a is non-convex and needs to be introduced into the objective function. The other two integer variables \mathbf{x} and \mathbf{y} are relaxed as

$$C13c: 0 \le x_{f,n,q} \le 1, \forall q \in Q, \forall f \in \mathbf{f}_q, \forall n \in N,$$
 (26)

$$C13d: 0 \le y_{(i,j),q}^{(n,m)} \le 1, \forall q \in Q, \forall (n,m) \in E, \forall (i,j) \in E_q.$$
(27)

Thus, the problem is transformed into

$$P2: \max_{\mathbf{x}, \mathbf{y}, \mathbf{z}, \mathbf{p}, \mathbf{b}, \mathbf{l}} P = R - C + \kappa \Delta^{v}$$

s.t. C1 - C12, C13(b - d), C14, (28)

where $\Delta^v = \sum_{q=1}^Q z_q + \sum_{q=1}^Q (z_q^v)^2 - 2 \sum_{q=1}^Q z_q^v$ is the Taylor expansion of formula C13a. z_q^v is the value of z_q in v_{th} iteration and κ is the weight of non-integer penalty.

In problem P2, fractional and multiplier forms coexist in C5, C7, and C8, which are non-convex and intractable. Similar fractional forms in the objective function can be solved by Dinkelbach's algorithm [46] or Charnes-cooper transformation [47]. However, constraints in this problem are intertwined. Some fractional terms will be turned into sums and others

into fractions adversely, which cannot solve the problem and might even make it worse. The main variables in C5, C7, and C8 are y, z, and l. All fractional and multiplier forms are composed of y and l, or z and l. Inspired by [48], we find that P2 can be transformed into a conic optimization problem under fixed l, which is expressed as

$$P3: \max_{\mathbf{x}, \mathbf{y}, \mathbf{z}, \mathbf{p}, \mathbf{b}} P = R - C + \kappa \Delta^{v}$$

s.t.
$$C1 - C11, C12a, C13(b - d), \qquad (29)$$

$$\mathbf{p}, \mathbf{b} \ge 0.$$

Based on P3, the SFC embedding and wireless resources can be optimized. Then, \mathbf{x}^v , \mathbf{y}^v , \mathbf{z}^v , \mathbf{p}^v , \mathbf{b}^v are generated and ready to be used latterly. In P3, **l** is served for delay fulfillment and is part of network cost. To further maximize the objective function of P3, the P4 is derived. Based on the results of P3, the transformation rate of each SFC can be optimized by P4, which is expressed as

$$P4: \min_{\mathbf{l}} \sum_{q \in Q} \sum_{(n,m) \in E_G \cup E_{A1}} \sum_{(i,j) \in E_Q} \alpha_{\mathrm{cm},N_G} l_q^{(n,m)} y_{(i,j),q}^{(n,m)} + \sum_{q \in Q} \sum_{(n,m) \in E_{A2}} \sum_{(i,j) \in E_Q} \alpha_{\mathrm{cm},N_A} l_q^{(n,m)} y_{(i,j),q}^{(n,m)},$$

s.t. C5, C7 - C9,
$$l_{\mathrm{max}} \ge l_q^{(n,m)} \ge 0, \forall (n,m) \in E, \forall q \in Q.$$
(30)

In this step, the virtual link rates of each service requests, e.g., **l**, are optimized, and the result of v_{th} iteration is denoted by \mathbf{I}^v . Let $\mathbf{z}_q^v = \mathbf{z}_q^*$ and input the $\mathbf{I} = \mathbf{I}^v$ into P3, and execute the conic programming, then, $\mathbf{x}^{v+1}, \mathbf{y}^{v+1}, \mathbf{z}^{v+1}, \mathbf{p}^{v+1}, \mathbf{b}^{v+1}$ are generated. Then, the iterative alternating optimization can be conducted successively. Finally, the algorithm is terminated when the stopping criteria triggers. The specific process of the algorithm is as follows.

Algorithm 1: Joint SFC Orchestration and Wireless
Resource Allocation Optimization.
Input: Newly arrived service Q, current network
status, and available wireless resources
Output: x, y, z, p, b, l
1 repeat
2 Begin CP with using MOSEK to solve P3;
Output: \mathbf{x}^v , \mathbf{y}^v , \mathbf{z}^v , \mathbf{p}^v , \mathbf{b}^v
3 Set x , y , p , b , z as \mathbf{x}^v , \mathbf{y}^v , \mathbf{z}^v , \mathbf{p}^v , \mathbf{b}^v parallelly;
4 Begin CP with using MOSEK to solve P4;
Output: I^v
5 Compute the revenue P^v by $\mathbf{x}^v, \mathbf{y}^v, \mathbf{p}^v, \mathbf{b}^v, \mathbf{z}^v, \mathbf{l}^v$;
6 $v = v + 1;$
7 until $P^v - P^{v-1} \leq \delta;$
8 Obtain $\mathbf{x}^v, \mathbf{y}^v, \mathbf{p}^v, \mathbf{b}^v, \mathbf{z}^v, \mathbf{l}^v$ as x , y , p , b , z ;
9 End
1) I it is a Difference in the sector of a sector of

1) Initialization: Before each iteration, the type, source, destination, and the requirements of each service, and current network state have been known to the central controller. Then, we assume that the network topology is quasi-stationary

during each policy decision. To optimize SFC embedding and wireless resource, the initial value of **l** is preset. Without loss of generality, the initial value of virtual link rate is set as

$$\mathbf{l}^{v} = \left\{ l_{q}^{(i,j)} | l_{q}^{(i,j)} = \frac{2l_{q}}{t - t_{comp,q}} \forall q \in Q, \forall (i,j) \in E_{q} \right\},$$
(31)

and the v is set as 1.

2) Input the initial \mathbf{l}^v into P3 with MOSEK, then the \mathbf{x}^v , \mathbf{y}^v , \mathbf{z}^v , \mathbf{p}^v , \mathbf{b}^v are obtained. \mathbf{z}^v is the decision variables for service request, \mathbf{x}^v , \mathbf{y}^v are the variables for SFC embedding, and \mathbf{p}^v , \mathbf{b}^v are the allocated power and spectrum for aerial nodes. Save the value of \mathbf{x}^v , \mathbf{y}^v , \mathbf{z}^v , \mathbf{p}^v , \mathbf{b}^v for the step-3.

3) In step-2, the SFC embedding and wireless resource are optimized jointly, and \mathbf{x}^v , \mathbf{y}^v , \mathbf{z}^v , \mathbf{p}^v , \mathbf{b}^v are obtained. Set the \mathbf{x}^v , \mathbf{y}^v , \mathbf{z}^v , \mathbf{p}^v , \mathbf{b}^v as initial value of P4, execute the interiorpoint solution by MOSEK, and I is optimized as \mathbf{I}^v .

4) Identify whether the stopping criteria triggers, i.e., the solution of the algorithm remains unchanged within δ . If not, turns to step 2, otherwise, turns to step 5.

5) Normalize the x, y, z to integers and output the optimized x, y, z, p, b, l.

The details of this algorithm are shown in Algorithm 1. In the proposed algorithm, the SFC embedding and wireless resource allocation are optimized first, then, the virtual link rate is optimized. After several iterations, an optimal value is obtained.

B. Discussion

To find out the influence of wireless resources, we analyze the expectation of maximum receiving services under different bandwidths in this subsection. Without loss of generality, the arrival of service requests is evenly distributed, and a basic SFC form containing three VNFs is considered. The service arrival ratio of ground nodes and aerial nodes are $|N_G|/|N|$ and $|N_A|/|N|$ separately. In this subsection, the service is divided into four types by the source-destination (SD) pair, which are air nodes to air nodes (A2A), air nodes to ground nodes (A2G), ground nodes to air nodes (G2A), and ground nodes to ground nodes (G2G). Each SD pair can be divided into two subtypes by the network node that supports the second VNF, i.e., the middle node. It is tedious to discuss the above eight subcases one by one directly. We find that when the middle node is set as an aerial node under A2A and A2G, the SFC's passing channels are all wireless channels that originate from aerial nodes. Similarly, ground middle nodes under A2A and A2G and aerial middle nodes under G2A and G2G can be discussed together where half of the channels are from aerial nodes, and others are from ground nodes to aerial nodes. Additionally, ground middle nodes under G2A and G2G contain half channels from ground nodes to ground nodes. The other channels under G2A are from ground nodes to aerial nodes, and that under G2G are from ground nodes to ground nodes. Therefore, four different cases should be considered. As for the first case, the average bandwidth for

aerial networks is *B*. Then, the average wireless channel capacity $\bar{\varphi}$ is expressed as

$$\bar{\varphi}(B) = \frac{B}{2} \log_2 \left(1 + \frac{2P_{\max}\bar{h}^{-\gamma}}{\sigma^2 B} \right)$$
(32)

where \bar{h} is the statistical distance between aerial nodes and others. Based on the formulated problem, the network is subject to computation resource and channel capacity. Considering the communication capacity constraint only, the expected maximum receiving service number is expressed as

$$\bar{q}_{\text{comm },1}(B) = \frac{\bar{\varphi}}{\bar{l}} = \frac{B}{2\bar{l}}\log_2\left(1 + \frac{2P_{\max}\bar{h}^{-\gamma}}{\sigma^2 B}\right), \quad (33)$$

where \overline{l} is all services' average allocated virtual link rate. Considering the computation capacity constraint only, the maximum receiving service number is $q_{comp,1} = \overline{C_n}/\overline{c_q}$ where $\overline{C_n}$ is the average computation capacity and $\overline{c_q}$ is the average computation requirement of each service. Combing the computation constraint and channel constraint, the expected average maximum service number is

$$q_{\max,1}(B) = \min\left[\frac{\overline{C_n}}{\overline{c_q}}, \frac{B}{2\overline{l}}\log_2\left(1 + \frac{2P_{\max}\overline{h}^{-\gamma}}{\sigma^2 B}\right)\right], \quad (34)$$

which is the function of available bandwidth B. As $B \to \infty$, the channel capacity arrives at its maximum, which is expressed as

$$\begin{split} \bar{q}_{\max,1} &= \lim_{B \to \infty} \bar{q}_{\max}(B) \\ &= \lim_{B \to \infty} \min\left[\frac{B}{4\bar{l}}\log_2\left(1 + \frac{4P_{\max}\bar{h}^{-\gamma}}{\sigma^2 B}\right), \frac{\overline{C_n}}{c_q}\right] \\ &= \lim_{B \to \infty} \min\left[\frac{\sigma^2 B}{4\bar{l}P_{\max}\bar{h}^{-\gamma}}\log_2\left(1 + \frac{4P_{\max}\bar{h}^{-\gamma}}{\sigma^2 B}\right)\frac{P_{\max}\bar{h}^{-\gamma}}{\sigma^2 \bar{l}}, \frac{\overline{C_n}}{c_q}\right] \\ &= \min\left[\lim_{B \to \infty} \frac{\sigma^2 B}{4\bar{l}P_{\max}\bar{h}^{-\gamma}}\log_2\left(1 + \frac{4P_{\max}\bar{h}^{-\gamma}}{\sigma^2 B}\right)\frac{P_{\max}\bar{h}^{-\gamma}}{\sigma^2 \bar{l}}, \frac{\overline{C_n}}{c_q}\right] \\ &= \min\left[\frac{P_{\max}\bar{h}^{-\gamma}}{\sigma^2 \bar{l}}\log_2 e, \frac{C_n}{c_q}\right]. \end{split}$$
(35)

Adding the constraint of channel capacity from ground nodes to aerial nodes, and the average maximum receiving service number of the second case is

$$\bar{q}_{\max,2} = \min\left[\frac{\overline{C_n}}{\overline{c_q}}, \frac{P_{\max}\bar{h}^{-\gamma}}{\sigma^2 \bar{l}}\log_2 e, N_G l_{G2}\right].$$
 (36)

Similarly, the average maximum receiving service of the third case is

$$\bar{q}_{\max,3} = \min\left[\frac{\overline{C_n}}{\overline{c_q}}, N_G l_{G2}, (N_G - 1) l_{G1}\right], \quad (37)$$

where the $(|N_G|-1)l_{G1}$ is the capacity constraint from ground nodes to ground nodes.

The average maximum receiving service of the last case is

$$\bar{q}_{\max,4} = \min\left[\frac{\overline{C_n}}{\overline{c_q}}, (N_G - 1)\,l_{G1}\right].$$
(38)

In conclusion, the average maximum receiving service is constant when the network setting and service types are predefined. Although we did not do a dedicated simulation for this, validation can be conducted by combining the results in Fig. 4, Fig. 7, and Fig. 8.

TABLE II SIMULATION SETTINGS

Parameter	Value
N	7
N_G	4
N_A	3
В	200 MHz
α_{int}	250
α_p	100000
α_{cm,N_G}	5
α_{cp,N_A}	6
α_{cp,N_G}	4
C_n	450
P_{max}	0.1 W
σ^2	-174 dBm
T_q	10-13 evenly distributed
r_q	1500-2500 evenly distributed

VI. PERFORMANCE EVALUATION

In this section, we exhibit the simulations to evaluate the proposed algorithm in terms of convergence, average network revenue, successfully serving probability, and resource consumption. The main parameters of our scenario are listed in Table II. The simulation is carried out on a computer with 3.0 GHz Intel Core i5-9500 and 16 GB RAM, and we use MATLAB 2019a with the MOSEK of CVX to solve this problem.

In our models, the transmission power, channel spectrum, virtual link rate, and SFC embedding are jointly optimized to maximize the network profit. We evaluate the performance and compare it with three benchmarks as follows.

- Optimization of SFC embedding and wireless resources (EM-WR optimization): Based on P3, we optimize the transmission power, channel spectrum, and SFC embedding jointly, which is set as a benchmark to illustrate the advantage brought by virtual link rate adaption.
- Optimization of SFC embedding (EM optimization): To illustrate the ascendancy of network reconfigurability, we only optimize the SFC embedding to simulate the traditional terrestrial network with fixed capacity.
- Differential evolution (DE) is a famous heuristic algorithm, and its superiority is demonstrated in complex optimization problems because of its simple computation processes and fewer parameters. In this paper, we employ DE as a benchmark to give an intuitive reference, and its population number is set to 150.

The convergence performance of our proposed algorithm is depicted in Fig. 3, where the service number is set to 40. Notably, our algorithm demonstrates rapid convergence within three iterations when l_{G1} is set to 75 Mbps, outperforming the convergence rate observed when doubling l_{G1} . This observation is visually apparent from Fig. 3, underscoring the algorithm's ability to swiftly converge under varying network resource conditions. Furthermore, Table III presents the average computation time per request for different total numbers. It has been demonstrated that all these algorithms have the same order of magnitude. A comparative analysis between EM-WR-TR optimization and DE reveals that our proposed algorithm exhibits faster execution times than DE when the number of



Fig. 3. Iteration and convergence analysis.

TABLE III COMPUTATION TIME PER REQUESTS (SECONDS)

Number of Service	EM-WR	EM	EM-WR-TR	DE
5	0.594	0.430	1.404	2.634
10	0.367	0.287	0.910	1.339
15	0.297	0.245	0.757	0.907
20	0.244	0.226	0.677	0.691
25	0.225	0.216	0.629	0.563
30	0.213	0.210	0.596	0.476
35	0.195	0.213	0.580	0.414
40	0.222	0.207	0.563	0.367
45	0.246	0.208	0.533	0.332

service requests is below 20. However, it is worth mentioning that the proposed algorithm, owing to its inclusion of two loop structures within a single iteration, exhibits a slightly longer convergence time compared to EM-WR optimization and EM optimization. In contrast, DE employs a fixed population number and converts all constraints into numerical penalties within the objective function, mitigating the impact of the total request number on the average computation time.

Fig. 4 shows the comparison of four algorithms in average revenue. From Fig. 4, we can see that our proposed algorithm outperforms the benchmarks by about 10% to 50% in the performance of average revenue. As the number of service requests increases, the average revenues of four cases grow simultaneously. Whereas in a large number of service requests (beyond 30), the growth of average revenue associated with the EM-WR-TR approach the EM-WR approach is slowing down, which means the space for optimization is gradually exhausted and a platform appears. Similarly, the growth of average revenue associated with EM approach slows down earlier as the number of service requests increases to about 25. This is because the EM-WR-TR optimization triggers the allocation of wireless resources compared with EM optimization and unblocks the restriction of virtual link rate between each VNF, which brings a significant degree of flexibility for service fulfillment. Unfortunately, as the number of services increases from ten, the result of DE is not as good as the other three algorithms, and its average revenue grows continually but



Fig. 4. The average revenue versus the number of service requests.



Fig. 5. The successfully serving probability versus the number of service requests.

slowly. After all, the problem is complex and comprises a large number of multi-dimensional matrix variables, which easily drives the DE to local maximization. Accordingly, we evaluate another important performance index, i.e., the successfully serving probability. Fig. 5 shows the impact of the number of service requests on the successfully serving probability where all values equal 100% at the beginning. Results of DE and EM optimization keep falling as the number of requests increases, and the latter declines faster. Particularly, our proposed approach has a more robust service reception probability than benchmarks, which verifies the efficiency of our proposed approach.

Fig. 6 shows the average resource costs of each request under different service number. Obviously, resource costs of DE are always the highest, and both DE and EM optimization are in downtrends, which reveals the flaws of DE in multidimensional resource allocation that redundant resources are allocated to avoid constraint violation. The average resource cost of EM-WR optimization is higher than that of EM-WR-TR optimization, and they both continue to increase with the



Fig. 6. The resource costs per completed service request versus the number of service requests.



Fig. 7. Comparison of average revenue with the varied ratio of each type of service.

number of service requests until they are nearly identical. Obviously, the average resource costs of EM-WR-TR optimization are lowest when the service number is less than 25, which indicates that EM-WR-TR optimization can receive as many services as possible while minimizing resource consumption. Interestingly, resource costs of EM-WR optimization and EM-WR-TR optimization increase slowly and approach the same value. This is because network operators must utilize expensive resources to obtain more revenues, which reduces the average resource utilization.

Fig. 7 shows the comparison of EM-WR-TR optimization with varied ratio of service types under different ratios of service types. We can observe that the average revenue increases with ϕ (before 0.8), which is because that our proposed algorithm is good at scheduling communication resources. As the ϕ increases to 0.8, average revenue begins to fall because of the limitation of transmission resource.

Fig. 8 and Fig. 9 compare four algorithms with varied available spectrums for data transmission in terms of the average revenue and successfully serving probability, respectively. The



Fig. 8. The average revenue versus the available spectrum.



Fig. 9. Comparison of successfully serving probability versus the available spectrum.

number of service requests is set as 40. Fig. 8 and Fig. 9 show that our proposed EM-WR-TR optimization algorithm outperforms the other three algorithms. This is because the proposed algorithm enables both wireless resource allocation and rate-adaptive SFC orchestration. Compared with the EM-WR optimization, the proposed algorithm can minimize resource costs and receive more services. As the available spectrum increases, the average revenue and successfully serving probability increase simultaneously. Two performance measurements in Fig. 8 and Fig. 9 reveal that the DE is only efficient when the action space is small and its performance increases gradually as the available spectrum diminishes.

VII. CONCLUSION

In this paper, we have proposed an SDN/NFV-based reconfigurable SAGIN network architecture, and based on which, rate-adaptive SFC orchestration and wireless resource allocation are investigated comprehensively. Considering the resource limitation of network infrastructures and service requirements, an MINLP problem has been formulated to maximize the network profit. Then, successive convex optimization is utilized to transform the proposed problem into a tractable one, and an iterative altering algorithm is proposed to optimize the SFC embedding, virtual link rate, and wireless resource jointly. Extensive simulations have been carried out, and the results have illustrated the effectiveness of the proposed algorithm in SFC orchestration and resource allocation. Specifically, the EM-WR approach achieves a lower average computation time than others and is effective in highly dynamic network scenarios. The proposed architecture and EM-WR-TR approach lay a foundation to future studies related to on-demand service provision and wireless resource scheduling in SAGINs. In future work, we will investigate the service-oriented mobile user access and handover in SAGINs deeply.

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Jingchao He received the B.E. degree in communication engineering from Xidian University, Xi'an, China, in 2020, where he is currently pursuing the Ph.D. degree in communication and information systems. His research interests include system design and resource allocation in space-air-ground integrated networks.



Nan Cheng received the Ph.D. degree from the Department of Electrical and Computer Engineering, University of Waterloo in 2016, and B.E. degree and the M.S. degree from the Department of Electronics and Information Engineering, Tongji University, Shanghai, China, in 2009 and 2012, respectively. He worked as a Post-doctoral fellow with the Department of Electrical and Computer Engineering, University of Toronto, from 2017 to 2019. He is currently a professor with State Key Lab. of ISN and with School of Telecommunications Engineering,

Xidian University, Shaanxi, China. He has published over 90 journal papers in IEEE Transactions and other top journals. He serves as associate editors for IEEE Transactions on Vehicular Technology, IEEE Open Journal of the Communications Society, and Peer-to-Peer Networking and Applications, and serves/served as guest editors for several journals. His current research focuses on B5G/6G, AI-driven future networks, and space-air-ground integrated network.



Wei Quan (Senior Member, IEEE) received the Ph.D. degree in communication and information system from Beijing University of Posts and Telecommunications (BUPT), Beijing, China, in 2014. He is currently a Full Professor with the School of Electronic and Information Engineering, Beijing Jiaotong University (BJTU), Beijing, China. He has coauthored more than 50 papers in prestigious international journals and conferences, including IEEE Journal on Selected Areas in Communications, IEEE Communications Magazine, IEEE Wireless Commu-

nications, IEEE Network, IEEE Transactions on Vehicular Technology, IEEE Communications Letters. His research interests focus on reliable transmission in mobile networks, vehicular networks and Industrial IoT. Dr. Quan is an Associate Editor for the IEEE Transactions on Vehicular Technology, Peer-to-Peer Networking and Applications, Journal of Internet Technology, and IEEE Access. He is a winner of 2022 IEEE ComSoc Asia-Pacific Outstanding Young Researcher Award and a principle investigator (PI) of National Key Research and Development Program of China.



Zhisheng Yin (M'20) received his Ph.D. degree from the School of Electronics and Information Engineering, Harbin Institute of Technology, Harbin, China, in 2020, and the B.E. degree from the Wuhan Institute of Technology, the B.B.A. degree from the Zhongnan University of Economics and Law, Wuhan, China, in 2012, and the M.Sc. degree from the Civil Aviation University of China, Tianjin, China, in 2016. From Sept. 2018 to Sept. 2019, Dr. Yin visited in BBCR Group, Department of Electrical and Computer Engineering, University of

Waterloo, Canada. He is currently an Assistant Professor with School of Cyber Engineering, Xidian University, Xi'an, China. He is also an Associate Editor of IEEE Internet of Things Journal. His research interests include spaceair-ground integrated networks, wireless communications, digital twin, and physical layer security.



Conghao Zhou (S'19 -M'21) received the Ph.D. degree in Electrical and Computer Engineering from University of Waterloo, Waterloo, ON, Canada, in 2022. He received the B.Eng. degree from Northeastern University, Shenyang, China, in 2017 and the M.Sc. degree from University of Illinois at Chicago, Chicago, IL, USA, in 2018. He is currently a Post-doctoral Fellow at University of Waterloo, Waterloo, ON, Canada. His research interests include space-air-ground integrated networks, network slicing, and machine learning for wireless networks.



Xiao-Hui Lin received his B.S. and M.S. degrees in Electronics and Information Science from the Lanzhou University in 1997 and 2000, respectively. He got his Ph.D. in Electrical and Electronic Engineering from the University of Hong Kong in 2003. He is now a Professor in the Faculty of Electronics and Information Engineering, Shenzhen University in Guangdong, China. His research interests include mobile computing, wireless networking, and multimedia communication. In these fields, he has published more than 80 papers in international

leading journals and refereed conferences.



Haibo Zhou (M'14-SM'18) received the Ph.D. degree in information and communication engineering from Shanghai Jiao Tong University, Shanghai, China, in 2014. From 2014 to 2017, he was a Postdoctoral Fellow with the Broadband Communications Research Group, Department of Electrical and Computer Engineering, University of Waterloo. He is currently a Full Professor with the School of Electronic Science and Engineering, Nanjing University, Nanjing, China. He was a recipient of the 2019 IEEE ComSoc Asia–Pacific Outstanding Young Re-

searcher Award, 2023-2024 IEEE ComSoc Distinguished Lecturer, and 2023-2025 IEEE VTS Distinguished Lecturer. He served as Track/Symposium Co-Chair for IEEE/CIC ICCC 2019, IEEE VTC-Fall 2020, IEEE VTC-Fall 2021, WCSP 2022, IEEE GLOBECOM 2022, IEEE ICC 2024. He is currently an Associate Editor of the IEEE Transactions on Wireless Communications, IEEE Internet of Things Journal, IEEE Network Magazine, and Journal of Communications and Information Networks. His research interests include resource management and protocol design in B5G/6G networks, vehicular ad hoc networks, and space-air-ground integrated networks.